**Medicare Parts C and D: General Compliance and CMS Fraud, Waste, and Abuse (FWA) Training (0.5 hour)**

**Name:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date:\_\_\_\_\_\_\_\_\_\_\_\_**

1. You work for a Sponsor. Last month, while reviewing a monthly report form the Centers for Medicare and Medicaid Services (CMS) you identify multiple enrollees for which the Sponsor is being paid, who are not enrolled in the plan. You spoke to your supervisor who said not to worry about it. This month, you have identified the same enrollees on the report again. What should you do?
2. Decide not the worry about it as your supervisor instructed – you notified him last month now it’s his responsibility
3. Although you have seen notices about the Sponsor’s non-retaliation policy, you are still nervous about reporting – to be safe, you submit a report through your compliance department’s anonymous tip line so you cannot be identified.
4. Wait until next month to see if the same enrollees appear on the report again, figuring it make take a few months for CMS to reconcile its records – if they are, then you say something to your supervisor again.
5. Contact law enforcement and CMS to report the discrepancy.
6. Which of the following has not been identified by CMS as being “Medicaid Parts C and D High Risk Areas”?
7. Beneficiary notices
8. Ethics
9. Marketing and enrollment
10. Billing
11. What is a possible consequence for non-compliance, fraudulend, or unethical behavior?
12. Warnings from CMS
13. Termination of employment
14. Temporary suspension of your institutions’ business license
15. Personalized citation from CMS
16. What happens after non-compliance is detected?
17. It is investigated immediately and promptly corrected
18. It is logged and investigated within 3 months
19. Corrective action is taken IMMEDIATELY
20. It is investigated and filed
21. What is they policy of non-retaliation?
22. Allow the Sponsor to discipline employees who violate the Code of Conduct
23. Prohibits management and supervisors from harassing employees for misconduct
24. Protects employees who, in good faith, report non-compliance
25. Prevents fights between employees